
DIFFERENT
CAN BE
DEFINING
NEWPORT™
retirement services

VISION

Our collective vision began, humbly enough, with a simple goal: raise the bar in retirement services by operating without the bias and hidden costs compromising the industry.

We saw a market troubled by obscured motives; a dated approach that impeded millions as they strove to save for retirement. And we saw how lack of expertise could compromise plan offerings, increase expense, and ultimately hinder retirement readiness for our clients' employees. We knew there had to be a better model that would elevate the level of trust in the industry. So we set out not only to anticipate the direction of retirement services, but to help redefine it.

THE NEWPORT DIFFERENCE WOULD BE THE TRANSFORMATIVE CORNERSTONE FOR CHANGE

We pledged never to use retirement services to sell proprietary products like mutual funds and insurance.

We vowed never to hide our fees.

We committed to independent advisors to support unbiased guidance.

Our advanced expertise, objectivity, and vision are evident in every client relationship, allowing us to always provide partnership and support for what we believe is the foundational touchstone that advisors, companies, and employees depend upon today: trust in their future.


GROWTH

NEWPORT BY THE NUMBERS

Over 25%
OF THE FORTUNE 500 AS CLIENTS

39,000+
PLANS

1.5+
MILLION PLAN PARTICIPANTS

35+
YEARS OF GROWTH

Award-Winning
SERVICE

26 Offices
NATIONWIDE

$140+
BILLION IN RETIREMENT ASSETS UNDER ADMINISTRATION

$270+
BILLION IN CORPORATE RETIREMENT AND INSURANCE ASSETS

Industry Leader
NON-QUALIFIED DEFERRED COMPENSATION PLANS*

The Leading
BOLI PROVIDER

Over 1,600
EMPLOYEES

Newport is consistently rated a top retirement services provider by independent third parties. With over 35 years of consistent growth and a client list containing over 25% of the Fortune 500, we are fast becoming the standard in unbiased, client-focused retirement services.

**2020* PLANSPONSOR magazine, *Recordkeeping Survey, #2 for total NQDC plans and participants*

All numbers as of 10/31/2020

EXPERTISE

WHAT WE DO

With objectivity and full transparency, we design and administer retirement and benefit plans that empower companies to create a more secure retirement for their employees.

We are the only retirement services provider that can deliver an integrated qualified and non-qualified plan along with 3(16) fiduciary services.

We focus solely on retirement services as our primary business. Our services span both qualified and non-qualified retirement plans of any level of complexity and include trust and fiduciary services. And our full suite of consulting offerings include trust, fiduciary, non-qualified deferred compensation, and compensation and benefits consulting, which provide additional support and resources for any type of retirement plan.

OUR SERVICES INCLUDE

Plan Design and Consulting

Recordkeeping, Administration and Compliance

Participant Communications and Education

Trust and Custody

COMMITMENT

HOW WE WORK

We've been fortunate to build our client base in conjunction with independent financial advisors and strategic alliances.

In fact, we are 100% independent advisor-focused by design. Because advisors are not our employees, the advice they offer to clients is completely objective.

In this way we fulfill our vision of a truly bias-free advisory environment.

We are committed to an environment in which counsel and direction are offered independent of financial incentives that can influence plan choice and program costs.

The depth and breadth of our services and expertise materially expand the offerings of our advisor partners. When we work together, no plan—whatever its type or complexity—is outside an advisor's reach.

We are collaborative and consultative with our advisors in a way that supports their efforts to put forward the best possible plan for their clients.

And our flexibility is unsurpassed in the industry. We construct custom plans in an industry comprised primarily of "off the shelf" solutions. As a result, our advisors and strategic partners trust us with their most important relationships.

RELATIONSHIPS

WHO WE SERVE

Our clients range from startups to the Fortune 500 across virtually every sector of American business. We enthusiastically service plans of all sizes, customizing in ways that are unique and can increase employee engagement, participation and deferrals among employees.

KEY INDUSTRIES WE SERVE

- Automotive
- Banking
- Education
- Entertainment
- Health Care and Physicians Groups
- Insurance and Financial Services
- Manufacturing
- National Defense Contractors
- Nonprofit
- Public Utilities
- Real Estate
- Restaurants and Food Service
- Retail
- Technology
- Union (Taft-Hartley)

The Newport Difference is built on these four pillars

1 Objectivity

Because our mission and vision are built on objectivity, we are uniquely structured to eliminate the hidden bias that can compromise the integrity of the retirement industry. We will never:

- Offer proprietary investment products
- Market our products to employees
- Obscure fees
- Tie fees to fund choices
- Reduce asset growth with fees
- Support biased recommendations

Newport offers nearly unlimited fund choices. And because we don't have proprietary funds, we don't charge clients more if they decide not to use them. Our fees are not dependent on the fund families chosen.

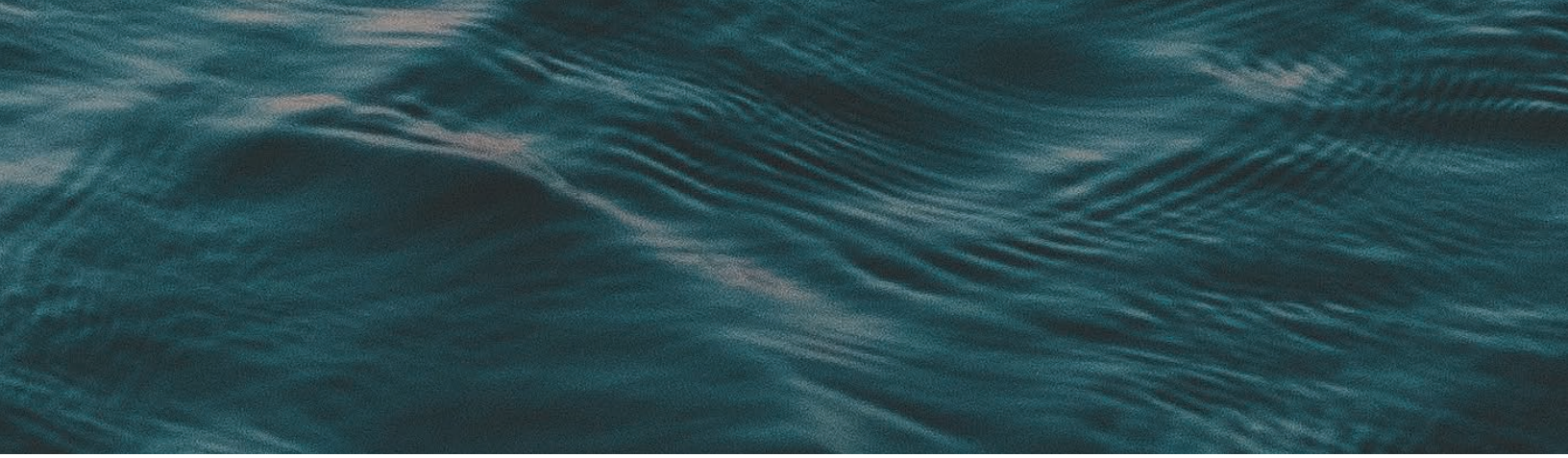
2 Advanced Expertise

We bring extraordinary in-house expertise and "bench strength" to retirement and compensation plans.

In today's environment, it doesn't take much for plans to become complex. As leaders in administration of combination plans, we welcome complexity that other providers avoid. Newport is often recognized as the expert's expert, engaged by larger retirement service providers for advice and administration.

We are one of the few retirement service providers qualified to administer complex combination plans such as:

- Qualified 401(k) + Non-Qualified Plan
- 401(k) + ESOP Plan
- 401(k) + Cash Balance Plan
- 401(k) + Hard-to-Value Assets (e.g., Real Estate)



3 Exceptional Service

Our plan administration service provides clients a dedicated point of contact, regardless of plan size.

This tight relationship between employers and their relationship manager makes us exceptionally responsive and saves clients time, frustration and expense. The relationship manager has ownership and accountability for client satisfaction, and is backed by a dedicated Newport client service team.

We have consistently been awarded "Best in Class" honors by PLANSPONSOR magazine, placing us among the very top providers in the retirement services industry.*

4 Leading-Edge Technology

We employ advanced automation and fully integrated systems with single sign-on across all our service lines and between our secure website and mobile app.

Our web experience is one of the most advanced retirement benefit platforms available today. In fact, our platform is so state-of-the-art it's used by other large financial services firms to service their own clients and employees.

Our easy-to-understand interface, interactive modeling tools and on-demand reporting illustrate why DALBAR** recognizes both our plan sponsor and participant websites among the top in the industry.

**2020* PLANSPONSOR magazine, *DC Survey. **DALBAR is an independent market research firm that conducts a quarterly review of online experiences among nearly 30 retirement services providers.*

SERVICES

We provide a full array of retirement and benefit plan solutions tailored to the unique needs of each employee group. We design and administer both qualified and non-qualified retirement plans of varying complexity for businesses of every size and sector.

Full-Service Defined Contribution Plans

401(k)

403(b), 457

MEPs (Multiple Employer Plans)

Profit Sharing

Defined Benefit and Cash Balance Plans

Actuarial Services

Employee Stock Ownership Plans (ESOPs)

Institutional Trustee Services

Independent Fiduciary Services

Executive Benefit Plans

Non-Qualified Deferred Compensation Plans

Grantor (Rabbi) Trusts

Supplemental Executive Retirement Plans (SERPs)

Fully Integrated Combination Plans

Insurance Solutions

Bank-Owned Life Insurance (BOLI)

Corporate-Owned Life Insurance (COLI)

Insurance Company-Owned Life Insurance (ICOLI)

Consulting

Retirement Plans

Non-Qualified Deferred Compensation Plans

Supplemental Executive Retirement Plans (SERPs)

Compensation Consulting

Fiduciary Consulting

Investment Advisory and fiduciary consulting services are offered through Newport Group Consulting, LLC, a registered investment adviser and wholly owned subsidiary of Newport Group, Inc. Securities are offered through Newport Group Securities, Inc., a dually registered investment advisor and broker dealer, member FINRA and affiliate of Newport Group, Inc. Securities in California are offered under the Newport Securities Insurance Services. Other insurance products may be offered by Newport Group, Inc. Newport Trust Company, is a New Hampshire state chartered trust company and wholly owned subsidiary of Newport Group, Inc. Newport Trust Company provides independent fiduciary and trustee services for employee benefit plans. For more information about Newport Group Consulting and its services, please visit newportgroup.com or refer to our Form ADV Part 2, which is available by contacting us at 407-333-2905, or visiting our website. 20191115-979577-3052047